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IDTF N053 – ISO 26000 WD4.1

Proposed annex on social responsibility initiatives

Note: This working document was developed by a sub-group within the IDTF, comprising representatives from NGO, SSRO, Government, Industry, Consumers and the ILO. It represents a proposal for further discussion and does not necessarily indicate the views of any of these groups on the questions relating to SR initiatives contained in IDTF N052.

Note to SG experts

This document presents a proposed annex to ISO 26000 that will present a comprehensive list of existing initiatives relating to social responsibility. The objective is to provide users with a source from which they can find some resources that might assist them to address questions and tasks arising from the guidance provided by the main document.

The proposal was developed with consideration to the following criteria:

- it should not be seen to endorse any initiative presented;
- it should not be used as a means of propaganda or promotion for any initiative;
- it should not create any kind of scoring or hierarchy among the items presented;
- it should clearly relate to guidance provided in the main document, allowing quick and easy cross references by the users;
- it should provide users with relevant and objective information, allowing them to make a first assessment of each initiative presented, and provide directions on how to get more information;
- it should be as comprehensive as possible, while acknowledging that it is not supposed to be exhaustive.

The fact that an initiative is presented in this Annex should not be seen as having an influence on the possibility of it being referenced in the main document as a source or bibliography. This is a separate discussion, and is addressed in questions contained in LTF N052.

This annex features two parts:

- a table, showing the reference keys related to each initiative; and
- a list of initiatives or tools, with basic information regarding each of them.

The initiatives table

- Note: the initiatives included in this table are provided as examples; the list will be amended based on feedback provided by WG experts
- Each line of the table refers to one single initiative or tool.
- The columns inform if the initiative or tool is related to each of the following references:

- *Origin:* (Government sponsored or supported; Industry sponsored; Multi-stakeholder; Labour; NGO)
- *Social Responsibility Subject:* (General; Human Rights; Labour; Environment; Bribery; Risk; Developing Supply Chain Codes; Accreditation, certification, labelling codes; Consumer; Reporting)
- *Sector:* (Agriculture; Apparel; Chemicals; Energy; Extractives; Investment; Electrical; Forestry; Fisheries; Toys)

List of initiatives or tools

This list will be presented after the table, and will provide the user with additional information about those initiatives and tools. Such information would include:

- A brief description (what is the initiative, what it proposes/aims, what are its outputs)
- No adjectives or “propaganda”
- Brief text, produced by the responsible initiative and approved by the WG
- Year of first version issued
- Year of latest revision issued (provided that can be more recent ones, after the date ISO26000 is published)
- Organization(s) responsible for starting the initiative
- Number of organizations/persons responsible for developing the initiative (divided by “stakeholder group”)
- Process to develop the initiative (how it was produced)
- Governance of the initiative (who is responsible for it)
- Costs to use it /adhere to it (if any)
- Basic commitments of the participants
- Profile of the intended participant/user
- Number of participants/users, as of Dec 31st, 2007 (estimated numbers should be clearly distinguished of proven figures)

QUESTIONS TO SG EXPERTS

Based on the information above and in the explanatory note about this issue (IDTF N052), please provide feedback on the following questions:

1. *Do you agree with the general solution proposed of having a table with a list of basic information? If not, please provide an alternative solution to address the task of how to include reference to existing initiatives in ISO 26000.*
2. *Would you suggest any changes regarding the information to be included in the table? (This is information that is intended to assist users to find initiatives suitable to their needs). If so, please provide changes you propose and the rationale for these.*
3. *Would you propose any changes regarding the information to be included in the list? (This is information intended to help users to have a general idea about the initiatives*

the user has selected in the table, and where to learn more about them). If so, please describe the changes you propose and the rationale for these.

- 4. Is the information on initiatives that is currently presented in the table as examples correct? If not, what should be changed, and why?*
- 5. Are there any other initiatives or tools that should be included? Are there any that should be removed? Please justify your suggestions.*
- 6. Do you agree that the organization responsible for each initiative should be responsible for providing the text/information that will be presented in the list? Do you suggest any limits and/or criteria regarding the content and/or size of the texts to be provided?*

INITIATIVES RELATED TO SOCIAL RESPONSIBILITY	ORIGIN				SOCIAL RESPONSIBILITY SUBJECT										SECTOR											
	Multistakeholder	Government	Industry	Labour	NGO	General	Hum Rights	Labour	Environment	Bribery	Risk	Supply Chain	Accreditation, certification,	Consumer	Reporting	Agriculture	Apparel	Chemicals	Electrical	Energy	Extractives	Fisheries	Forestry	Investment	Toys	
Amnesty International <i>human rights principles for companies</i>					X	X																				
<i>Caux Principles</i>			X			X																				
<i>Ceres Principles</i>	X							X																		
Collaborative Group on Artisanal and Small-Scale Mining (CASM) – <i>best practices guidance</i>		X																			X					
<i>Common Code for the Coffee Community</i>	X																									
Confederation of European Paper Industries (CEPI) <i>Code of Conduct</i>			X																				X			
Diamond Development Initiative (DDI) – <i>(quality standards under development)</i>	X																				X					
Eco-Management and Audit Scheme (EMAS)	X								X																	
<i>Electronic Industry Code of Conduct (EICC)</i>			X																X							
<i>Equator Principles</i>			X																					X		
<i>Ethical Trading Initiative Base Code and Principles *(UK)</i>	X										X															
Extractive Industries Transparency Initiative (EITI) <i>Principles and Criteria</i>		X																			X					
Fair Labor Association <i>Workplace Code of Conduct</i>	X										X															
Forest Stewardship Council (FSC) <i>Principles and Criteria</i>	X																						X			
<i>Global Sullivan Principles</i>																										
Greenhouse Gas (GHG) <i>Product Certification Standard</i>					X															X						
<i>GRI Sector Supplement for Apparel</i>	X																X									
<i>GRI Sector Supplement for Electric Utilities</i>	X																			X						
<i>GRI Sector Supplement for Mining and Metals</i>	X																			X						
<i>GRI Sustainability Reporting Guidelines</i>	X																									
<i>ICC Guidance on Supply Chain Responsibility</i>			X									X														

INITIATIVES RELATED TO SOCIAL RESPONSIBILITY	ORIGIN					SOCIAL RESPONSIBILITY SUBJECT										SECTOR										
	Multistakeholder	Government	Industry	Labour	NGO	General	Hum Rights	Labour	Environment	Bribery	Risk	Supply Chain	Accreditation, certification,	Consumer	Reporting	Agriculture	Apparel	Chemicals	Electrical	Energy	Extractives	Fisheries	Forestry	Investment	Toys	
<i>Principles for Responsible Investment</i>		X																						X		
<i>Principles for Sustainable Development Performance</i>																										
<i>Responsible Care program</i>			X															X								
<i>Social Accountability SAI 8000</i>	X						X																			
<i>UN Convention against Corruption</i>																										
<i>UN Global Compact</i>		X					X	X	X																	
<i>UN Global Compact Business Guide for Conflict Impact Assessment and Risk Management</i>		X									X															
<i>UN Global Compact Principles</i>		X									X															
<i>UN Guidelines on Consumer Policy</i>		X											X													
<i>UNCTAD Eco-Efficiency Indicators</i>		X													X											
<i>UNCTAD Guidance on Corporate Responsibility Indicators in Annual Reports</i>		X													X											
<i>UNCTAD Guidance on Good Practices in Corporate Governance Disclosure</i>		X													X											
<i>Voluntary Principles on Security and Human Rights</i>		X																								X
<i>WHO Ethical Criteria for Medical Drug Promotion</i>		X												X												
<i>WRI/WBCSD Greenhouse Gas Protocol</i>	X																									X